AP7 Rec'd PCTAPTO 29 MAR 2006

Attorney Docket No. 2060.4

PATENT

IN THE UNITED STATES RECEIVING OFFICE (RO/US)

In re Application of: Hiroyuki Fujimura, et al

U.S. Application No. 10/540,640

International Application No. PCT/JP2003/016552

International Filing Date: December 24, 2003

Priority Date: December 25, 2002

For: DIAMOND-COATED SILICON AND MANUFACTURING METHOD THEREOF

# REPLY TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE DO/EO/US

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In reply to the "Notification Of Missing Requirements Under 35 U.S.C. 371 In The DO/EO/US" dated February 17, 2006, attached hereto please find:

- 1. Fee Transmittal form (in duplicate);
- Confirmation No. 5378 Formalities Letter;
- 3. a completely executed "Combined Declaration and Power of Attorney;" and

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on <u>March 27, 2006</u>.

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Janice B. Davis

a completely executed Assignment of the invention and application to Ebara Corporation and Recordation Form. Please have the Assignment recorded. Respectfully submitted, Scott E. Hanf Attorney for Applicant Reg. No. 38,906

Customer No. 29494 HAMMER & HANF, P.C. 3125 Springbank Lane Suite G Charlotte, NC 28226

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PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 12/08/2004. Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/540,640 Application Number TRANSMIT Filing Date June 23, 2005 For FY 2005 First Named Inventor Hiroyuki Fujimura **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT 170.00 Attorney Docket No. 2060.4 METHOD OF PAYMENT (check all that apply) Check Credit Card None Money Order Other (please identify): X Deposit Account Deposit Account Number:\_ 08-2447 Deposit Account Name: Robert H. Hammer III, P.C. For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES** Small Entity **Small Entity** Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 600 250 300 Provisional 200 100 0 0 n 2. EXCESS CLAIM FEES **Small Entity** Fee Description Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims Extra Claims** Fee Paid (\$) **Multiple Dependent Claims** - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims **Extra Claims** Fee (\$) Fee Paid (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee Paid (\$) 0.00 Fee (\$) (round up to a whole number) x 250.00 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Late Declaration Surcharge; Recordation of Assignment 170.00

SUBMITTED BY					- <del>-</del>	
Signature	toss	and	Registration No. (Attorney/Agent)	38,906	Telephone	704-927-0400
Name (Print/Type)	Scott E. Hanf				Date N	March 27, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS O. Dox 1450 Alexandria, Virginia 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/540,640

Hiroyuki Fujimura

2060.4

RECEIVED PLEATING 2005

INTERNATIONAL APPLICATION NO.

29494 HAMMER & HANF, PC

File Missing Parts 4/17/06

PCT/JP03/16552 I.A. FILING DATE PRIORITY DATE

12/24/2003

12/25/2002

3125 SPRINGBANK LANE SUITE G CHARLOTTE, NC 28226

**CONFIRMATION NO. 5378 371 FORMALITIES LETTER** \*OC000000018056438\*

Date Mailed: 02/17/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/23/2005
- English Translation of the IA filed on 06/23/2005
- Copy of the International Search Report filed on 06/23/2005
- Preliminary Amendments filed on 06/23/2005
- Information Disclosure Statements filed on 06/23/2005
- Request for Immediate Examination filed on 06/23/2005
- Copy of references cited in ISR filed on 06/23/2005
- U.S. Basic National Fees filed on 06/23/2005
- Priority Documents filed on 06/23/2005
- Non-English Language Application filed on 06/23/2005
- Specification filed on 06/23/2005
- Claims filed on 06/23/2005
- Abstracts filed on 06/23/2005
- Drawings filed on 06/23/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

#### BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/540,640	PCT/JP03/16552	2060.4

FORM PCT/DO/EO/905 (371 Formalities Notice)